



Form 210A (10/06)

United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Baloise Bank SoBa AG

Name of Transferee

Name and Address where notices
to transferee should be sent:

Amthausplatz 4
attn Mr. Ulrich Ischi
4500 Solothurn
Switzerland

Phone: +41 58 285 38 52

Last Four Digits of Acct #: n.a.

Name and Address where transferee
payments should be sent (if different
from above):

Phone: _____

Last Four Digits of Acct #: _____

Credit Suisse AG

Name of Transferor

Court Claim # (if known): 55829

Date Claim Filed: October 29, 2009

Amount of Claim: partial transfer of claim

Portion of Claim Transferred (see

Schedule I): 50

Phone: _____

Last Four Digits of Acct. #: n.a.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge.

By: _____

Transferee/Transferee's Agent

Date: July 12, 2017

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

EVIDENCE OF TRANSFER OF CLAIM


TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, **Credit Suisse AG** ("Transferor") unconditionally and irrevocably transferred to **Baloise Bank SoBa** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim **Claim Nr. 55829** relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS
EXECUTED ON **June 20, 2017**.

Credit Suisse AG

By: 
Name: Adrian Graf
Title: AVP

By: 
Name: Sandro Hunziker
Title: AVP

SCHEDULE I

Lehman Programs Securities Related to Transferred Portion of Claim:

ISIN	Court Claim #	Date Claim Filed	Issuer	Number of Units or Currency and Nominal Amount
ANN5214A8303	55829	October 29, 2009	Lehman Brothers Securities NV	Units 50

Baloise Bank SoBa AG

Amthausplatz 4, 4502 Solothurn
T 058 285 33 33, F 058 285 03 33
www.baloise.ch



Ihr Kontakt

Ulrich Ischi
Leiter Operations
T 058 285 38 52
F 058 285 03 45
ulrich.ischi@baloise.ch

U.S. Bankruptcy Court for the
Southern District of N.Y.
One Bowling Green,
New York, N.Y. 1004
USA

23. Oktober 2017 / uis

Kurzmitteilung

<input type="checkbox"/> gemäss (tel.) Besprechung	<input type="checkbox"/> zur Unterschrift/Visum
<input type="checkbox"/> gemäss Ihrem Schreiben	<input type="checkbox"/> zu Ihren Akten
<input checked="" type="checkbox"/> gemäss Ihrem Wunsch	<input type="checkbox"/> mit bestem Dank zurück
<input type="checkbox"/> zur Kenntnis	<input type="checkbox"/> bitte zurückgeben
<input type="checkbox"/> zur Prüfung/Kontrolle	<input type="checkbox"/> bitte Akten/Unterlagen einreichen
<input type="checkbox"/> zur Stellungnahme	<input type="checkbox"/> bitte besprechen
<input checked="" type="checkbox"/> zur direkten Erledigung	<input type="checkbox"/> bitte weiterleiten an
<input type="checkbox"/> bitte anrufen auf Telefon	<input type="checkbox"/> Beilagen:

Enclosed please find a Form 210A as well as a "Evidence of Transfer of claim"

Kind Regards

Baloise Bank SoBa AG


Ulrich Ischi

